Processes and Threads

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1

Learning Outcomes

- An understanding of fundamental concepts of processes and threads
  - I'll cover implementation in a later lecture

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2

Major Requirements of an Operating System

- Interleave the execution of several processes to maximize processor utilization while providing reasonable response time
- Allocate resources to processes
- Support interprocess communication and user creation and management of processes

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3

Processes and Threads

- Processes:
  - Also called a task or job
  - Execution of an individual program
  - "Owner" of resources allocated for program execution
  - Encompasses one or more threads
- Threads:
  - Unit of execution
  - Can be traced
    - list the sequence of instructions that execute
  - Belongs to a process
    - Executes within it.

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4

Execution snapshot of three single-threaded processes (No Virtual Memory)

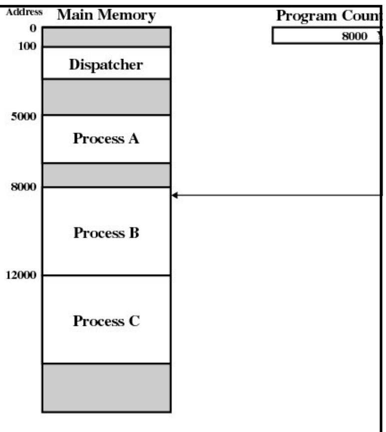


Figure 3.1 Snapshot of Example Execution (Figure
at Instruction Cycle 13

5

Logical Execution Trace

(a) Trace of Process A	(b) Trace of Process B	(c) Trace of Process C
5000	8000	12000
5001	8001	12001
5002	8002	12002
5003	8003	12003
5004		12004
5005		12005
5006		12006
5007		12007
5008		12008
5009		12009
5010		12010
5011		12011

5000 = Starting address of program of Process A
8000 = Starting address of program of Process B
12000 = Starting address of program of Process C

Figure 3.2 Traces of Processes of Figure 3.1

6

Combined Traces

(Actual CPU Instructions)

What are the shaded sections?

1	5000	27	12004
2	5001	28	12005
3	5002	-------------------Time out	
4	5003	29	100
5	5004	30	101
6	5005	31	102
-------------------Time out		32	103
7	100	33	104
8	101	34	105
9	102	35	5006
10	103	36	5007
11	104	37	5008
12	105	38	5009
13	8000	39	5010
14	8001	40	5011
15	8002	-------------------Time out	
16	8003	41	100
----------------I/O request		42	101
17	100	43	102
18	101	44	103
19	102	45	104
20	103	46	105
21	104	47	12006
22	105	48	12007
23	12000	49	12008
24	12001	50	12009
25	12002	51	12010
26	12003	52	12011
		-------------------Time out	

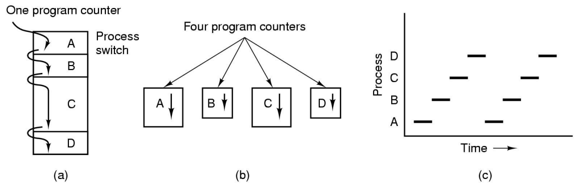
100 = Starting address of dispatcher program

shaded areas indicate execution of dispatcher process;
first and third columns count instruction cycles;
second and fourth columns show address of instruction being executed

Figure 3.3 Combined Trace of Processes of Figure 3.1

7

Summary: The Process Model



- Multiprogramming of four programs
- Conceptual model of 4 independent, sequential processes (with a single thread each)
- Only one program active at any instant

8

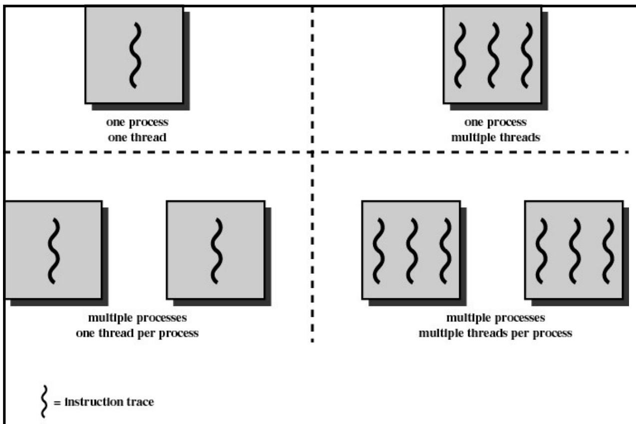


Figure 4.1 Threads and Processes [ANDE97]

9

Process and thread models of selected OSes

- Single process, single thread
  - MSDOS
- Single process, multiple threads
  - OS/161 as distributed
- Multiple processes, single thread
  - Traditional UNIX
- Multiple processes, multiple threads
  - Modern Unix (Linux, Solaris), Windows

Note: Literature (incl. Textbooks) often do not cleanly distinguish between processes and threads (for historical reasons)

10

Process Creation

Principal events that cause process creation

1. System initialization
   - Foreground processes (interactive programs)
   - Background processes
     - Email server, web server, print server, etc.
     - Called a *daemon* (unix) or *service* (Windows)
2. Execution of a process creation system call by a running process
   - New login shell for an incoming ssh connection
3. User request to create a new process
4. Initiation of a batch job

Note: Technically, all these cases use the same system mechanism to create new processes.

11

Process Termination

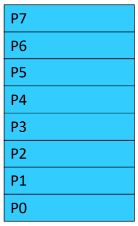
Conditions which terminate processes

1. Normal exit (voluntary)
2. Error exit (voluntary)
3. Fatal error (involuntary)
4. Killed by another process (involuntary)

12

Implementation of Processes

- A processes’ information is stored in a *process control block* (PCB)
- The PCBs form a *process table*
  - Reality can be more complex (hashing, chaining, allocation bitmaps,…)



13

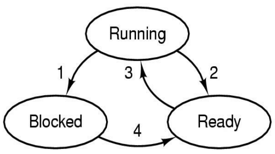
Implementation of Processes

Process management	Memory management	File management
Registers Program counter Program status word Stack pointer Process state Priority Scheduling parameters Process ID Parent process Process group Signals Time when process started CPU time used Children's CPU time Time of next alarm	Pointer to text segment Pointer to data segment Pointer to stack segment	Root directory Working directory File descriptors User ID Group ID

Example fields of a process table entry

14

Process/Thread States



1. Process blocks for input
2. Scheduler picks another process
3. Scheduler picks this process
4. Input becomes available

- Possible process/thread states
  - running
  - blocked
  - ready
- Transitions between states shown

15

Some Transition Causing Events

Running → Ready
- Voluntary **`Yield()`**
- End of timeslice

Running → Blocked
- Waiting for input
  - File, network,
- Waiting for a timer (alarm signal)
- Waiting for a resource to become available

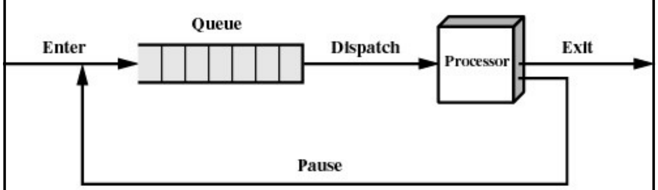
16

Scheduler

- Sometimes also called the *dispatcher*
  - The literature is also a little inconsistent on with terminology.
- Has to choose a *Ready* process to run
  - How?
  - It is inefficient to search through all processes

17

The Ready Queue



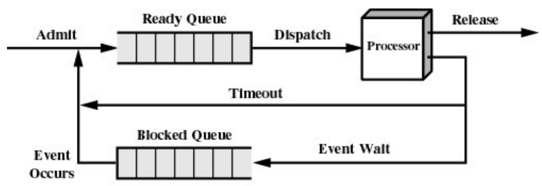
(b) Queuing diagram

18

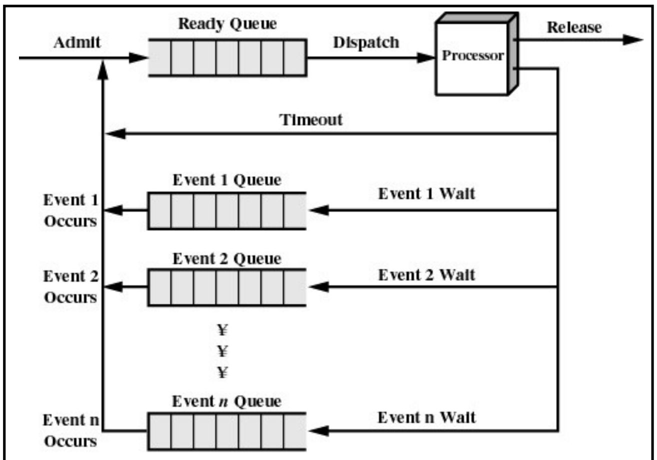
What about blocked processes?

- When an *unblocking* event occurs, we also wish to avoid scanning all processes to select one to make *Ready*

Using Two Queues

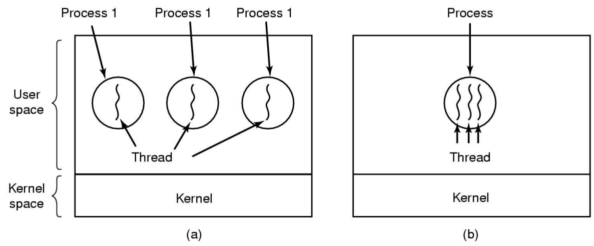


(a) Single blocked queue



(b) Multiple blocked queues

Threads
The Thread Model

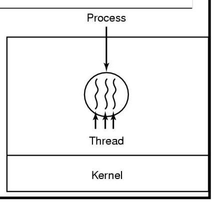


(a) Three processes each with one thread
(b) One process with three threads

The Thread Model – Separating execution from the environment.

Per process items	Per thread items
Address space Global variables Open files Child processes Pending alarms Signals and signal handlers Accounting information	Program counter Registers Stack State

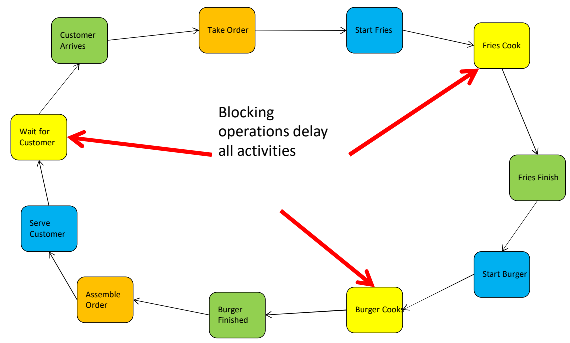
- Items shared by all threads in a process
- Items private to each thread



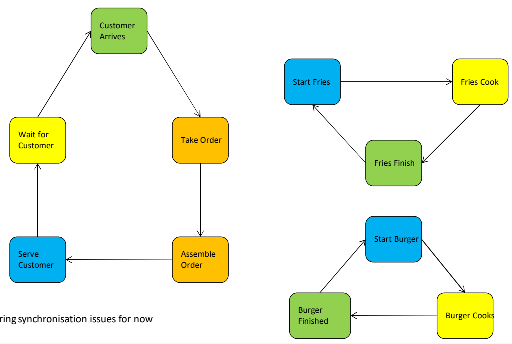
Threads Analogy

The Hamburger Restaurant

Single-Threaded Restaurant

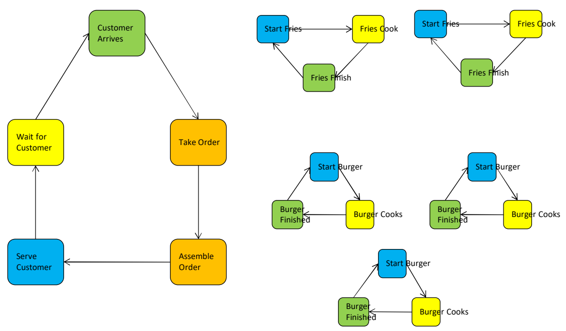


Multithreaded Restaurant



Note: Ignoring synchronisation issues for now

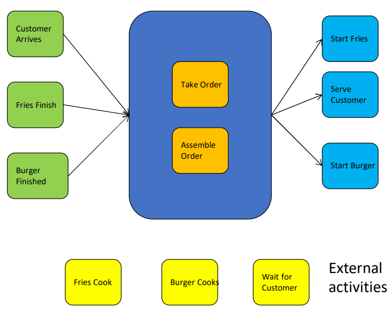
Multithreaded Restaurant with more worker threads



Finite-State Machine Model
(Event-based model)

Input Events

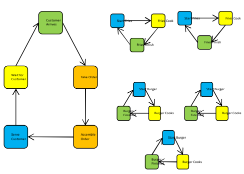
Non-Blocking actions



External activities

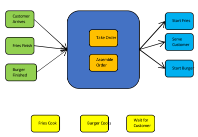
Observation: Computation State

Thread Model



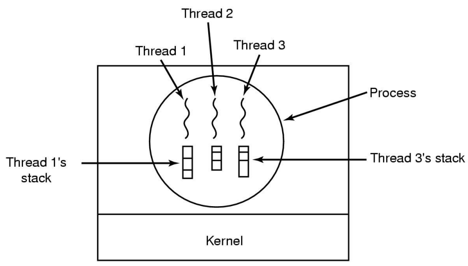
- State implicitly stored on the stack.

Finite State (Event) Model



- State explicitly managed by program

The Thread Model

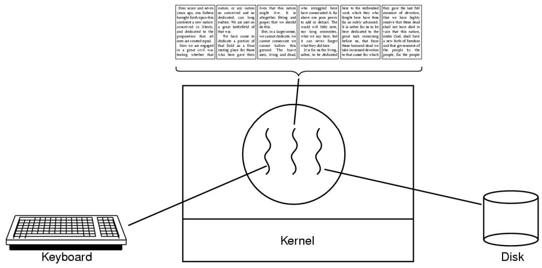


Each thread has its own stack

Thread Model

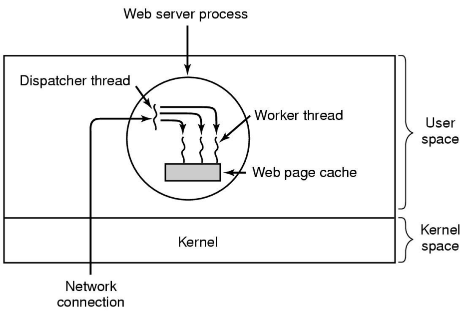
- Local variables are per thread
  - Allocated on the stack
- Global variables are shared between all threads
  - Allocated in data section
  - Concurrency control is an issue
- Dynamically allocated memory (malloc) can be global or local
  - Program defined (the pointer can be global or local)

Thread Usage



A word processor with three threads

Thread Usage



A multithreaded Web server

Thread Usage

```
while (TRUE) {
  get_next_request(&buf);
  handoff_work(&buf);
}
```

(a)

```
while (TRUE) {
  wait_for_work(&buf)
  look_for_page_in_cache(&buf, &page);
  if (page_not_in_cache(&page)
       read_page_from_disk(&buf, &page);
  return_page(&page);
}
```

(b)

- Rough outline of code for previous slide
  - (a) Dispatcher thread
  - (b) Worker thread – can overlap disk I/O with execution of other threads

Thread Usage

Model	Characteristics
Threads	Parallelism, blocking system calls
Single-threaded process	No parallelism, blocking system calls
Finite-state machine	Parallelism, nonblocking system calls, interrupts

Three ways to construct a server

Summarising "Why Threads?"

- Simpler to program than a state machine
- Less resources are associated with them than multiple complete processes
  - Cheaper to create and destroy
  - Shares resources (especially memory) between them
- Performance: Threads waiting for I/O can be overlapped with computing threads
  - Note if all threads are *compute bound*, then there is no performance improvement (on a uniprocessor)
- Threads can take advantage of the parallelism available on machines with more than one CPU (multiprocessor)